

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our name.

We believe we are the original, first and sole inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled "Integrated System for the Administration of an Insurance Company" (Attorney Docket No. 91164-9004), the specification of which is attached hereto.

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims.

We acknowledge the duty to disclose to the Patent and Trademark Office all information known to us to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

And we hereby appoint JOSEPH A. GEMIGNANI (Reg. No. 19,482), ROBERT E. CLEMENCY (Reg. No. 19,287), DAVID B. SMITH (Reg. No. 27,595), DAVID R. PRICE (Reg. No. 31,557), CASIMIR F. LASKA (Reg. No. 30,862), DAVID L. DEBRUIN (Reg. No. 35,489), TIMOTHY M. KELLEY (Reg. No. 34,201), BILLIE JEAN STRANDT (Reg. No. 36,940), THOMAS A. MILLER (Reg. No. 36,871), KEVIN P. MORAN (Reg. No. 37,193), GERALD L. FELLOWS (Reg. No. 36,133), DEREK C. STETTNER (Reg. No. 37,945), EDWARD R. LAWSON (Reg. No. 41,931), JAMES A. COLLINS (Reg. No. 43,557), DANIEL S. JONES (Reg. No. 42,697), SANDIP S. MINHAS (Reg. No. P-44,945), ANDREW R. PERET (Reg. No. 41,246), RANDALL W. FIELDHACK (Reg. No. 43,611), CHRISTOPHER B. AUSTIN (Reg. No. 41,592), GRADY J. FRENCHICK (Reg. No. 29,018), TERESA J. WELCH (Reg. No. 33,049), LINDA BLAIR MEIER (Reg. No. 39,769), PAUL F. DONOVAN (Reg. No. 39,962) and KAREN B. KING (Reg. No. 41,898), Michael Best & Friedrich LLP; and ROBERT S. BEISER (Reg. No. 28,687), and WITOLD A. ZIARNO (Reg. No. 39,888), Michael Best & Friedrich (LLC), and each or any of them, my attorneys or agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

ADDRESS ALL COMMUNICATIONS IN OR PERTAINING TO THIS APPLICATION TO:

Robert S. Beiser  
MICHAEL BEST & FRIEDRICH LLP  
100 East Wisconsin Avenue  
Milwaukee, WI 53202-4108  
Tel: 312-845-5800.

We hereby claim the benefit under Title 35, United States Code, §120 of the United States application listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| <u>Application Serial No.</u> | <u>Filing Date</u> | <u>Status</u> |
|-------------------------------|--------------------|---------------|
| 08/673,647                    | June 25, 1996      | Allowed       |

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: Gerald Peters  
Citizenship: United States of America  
Residence & Post Office Address:  
17119 Highway 86  
Box 535  
Kiowa, Colorado 80117

Full name of inventor: Jon Sykes  
Citizenship: United States of America  
Residence & Post Office Address:  
4007 Denise Court  
Glenview, Illinois 60025

Inventor's signature: \_\_\_\_\_

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_, 2000

Date: \_\_\_\_\_, 2000

Full name of inventor:  
Citizenship:  
Residence & Post Office Address:

Arthur Pennington  
United States of America  
594 Forestway Drive  
Buffalo Grove, Illinois 60089

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_, 2000

10-12-1999



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U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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**Box Assignments**

Commissioner of Patents and Trademarks  
Washington, D. C. 20231

U.S. Postal Service Express Mail Mailing Label No. EM468905836US.  
I, Kathleen F. Rutz, hereby certify that this paper or fee is being deposited with the United States Postal Service as Express Mail on the date of my signature and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Kathleen F. Rutz*  
Date of Deposit: October 6, 1999

Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
Gerald Peters  
Jon Sykes  
Arthur Pennington

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: PeopleSoft, Inc.

Address: 4305 Hacienda Drive

City: Pleasanton State: CA Zip: 94588

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger

☐ Security Agreement ☐ Change of Name

☐ Other

Execution Date: 9-17-99

4. Application number(s) or patent number(s):

A. Patent Application Nos. 08/673,647, filed June 25, 1996;  
08/285,501, filed August 3, 1994;  
07/813,151, filed December 23, 1991

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Robert S. Beiser  
Michael Best & Friedrich LLP  
100 East Wisconsin Avenue  
Milwaukee, WI 53202

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☒ Deficiencies in fee charged to Deposit Account

8. Deposit account number: 13-3080

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert S. Beiser (Reg. No. 28,687)  
Name of Person Signing

*Robert S. Beiser*  
Signature

October 6, 1999  
Date

Attorney File 91164-9004

Total number of pages including cover sheet, attachments, and document: 7

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09/553877  
04/20/00

10/06/1999 JSWABAZZ 00000077 133080 08673647

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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JANUARY 03, 2000

✓ MICHAEL BEST & FRIEDRICH LLP  
ROBERT S. BEISER  
100 EAST WISCONSIN AVENUE  
MILWAUKEE, WI 53202

PTAS RECEIVED



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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/06/1999

REEL/FRAME: 010290/0894  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

PETERS, GERALD

DOC DATE: 09/17/1999

ASSIGNOR:

SYKES, JON

DOC DATE: 09/17/1999

ASSIGNOR:

PENNINGTON, ARTHUR

DOC DATE: 09/17/1999

ASSIGNEE:

PEOPLESOFT, INC.  
4305 HACIENDA DRIVE  
PLEASANTON, CALIFORNIA 94588

SERIAL NUMBER: 08673647  
PATENT NUMBER:

FILING DATE: 06/25/1996  
ISSUE DATE:

SERIAL NUMBER: 08285501  
PATENT NUMBER:

FILING DATE: 08/03/1994  
ISSUE DATE:

ph

010290/0894 PAGE 2

SERIAL NUMBER: 07813151  
PATENT NUMBER:

FILING DATE: 12/23/1991  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

## **PATENT ASSIGNMENT**

Whereas, we, GERALD PETERS, an individual residing at 17119 Highway 86, Kiowa, Box 535, Colorado, 80117, JON SYKES, an individual residing at 4007 Denise Court, Glenview, Illinois, 60025, and ARTHUR PENNINGTON, an individual residing at 594 Forestway Drive, Buffalo Grove, Illinois, 60089 are the sole owners of United States Patent Application No. 08/673,647 entitled "Integrated System for the Administration of an Insurance Company". For and in consideration of the sum of One Dollar (\$1.00), paid by PEOPLESOFT, INC. (hereinafter referred to as "Assignee"), a corporation having its principal place of business at: 4305 Hacienda Drive Pleasanton, California 94588, and for other valuable and sufficient consideration, receipt hereof is hereby acknowledged, we hereby sell, assign and convey, unto Assignee, its successors and assigns, our entire right, title and interest -

(1) in and to Patent Application No. 08/673,647 for an invention entitled "Integrated System for the Administration of an Insurance Company" for which we have received a notice of allowance and issue fee notice;

(2) in and to said United States patent, in and to all other patent applications (including divisional, continuation, continuation-in-part, §111(b) provisional, §111(a), and reissue applications) based upon said invention, and in and to the patent or patents to be granted thereon, including reissues thereof, if any, to the full end of the term or terms for which said patent or patents may be granted;

(3) in and to all patent applications on said invention now or hereafter filed in countries foreign to the United States of America, and in and to any and all patents granted on said applications to the full end of the terms for which said patents may be granted; and


(4) under the International Convention in respect to the United States patent

application and agree that any patent applications of any foreign countries which may be filed shall be filed in the name of our Assignee with a claim to priority based on said United States patent.

And we hereby agree that we will, upon demand of Assignee, its successors or assigns, and without further consideration, execute any and all papers that may be necessary, or deemed by Assignee, its successors or assigns, to be necessary, to a complete fulfillment of the intent and purposes of this Assignment, it being understood that any expense incident to the execution of such papers shall be paid by Assignee, its successors and assigns.

And the Commissioner of Patents and Trademarks of the United States is hereby authorized and requested to issue the said United States patent or patents to Assignee.

Date: 9/17/89, 19 89

  
\_\_\_\_\_  
Gerald Peters

Date: \_\_\_\_\_, 19 \_\_\_\_

\_\_\_\_\_  
Jon Sykes

Date: \_\_\_\_\_, 19 \_\_\_\_

\_\_\_\_\_  
Arthur Pennington

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And the Commissioner of Patents and Trademarks of the United States is hereby authorized and requested to issue the said United States patent or patents to Assignee.

Date: \_\_\_\_\_, 19 \_\_\_\_

\_\_\_\_\_  
Gerald Peters

Date: 9-16, 19 99

  
\_\_\_\_\_  
Jon Sykes

Date: \_\_\_\_\_, 19 \_\_\_\_

\_\_\_\_\_  
Arthur Pennington



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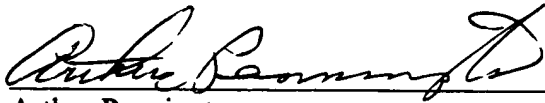
Date: \_\_\_\_\_, 19 \_\_\_\_

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Gerald Peters

Date: \_\_\_\_\_, 19 \_\_\_\_

\_\_\_\_\_  
Jon Sykes

Date: SEPT 16, 19 99

  
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Arthur Pennington